



2614

ATTY DOCKET NO. 099908.00020

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: William C. Stumphauzer, II

U.S. Serial No.: 09/900,935

Filed: July 9, 2001

For: **SYSTEM AND METHOD FOR CREATING AND RECEIVING  
PERSONALIZED BROADCASTS**

Examiner: To be assigned

Group Art Unit: To be assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

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AUG 31 2001  
Technology Center 2600

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited on 8/27/01, with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: John W. Kung

John W. Kung, Reg. No.: 44,199  
Kramer Levin Naftalis & Frankel LLP

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants respectfully submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

A copy of each of the listed references is enclosed for the convenience of the Examiner.

Respectfully submitted,

Date: August 27, 2001

Jonathan S. Caplan

Reg. No. 38,094

John W. Kung

Reg. No. 44,199

Kramer Levin Naftalis & Frankel LLP

919 Third Avenue

New York, N.Y. 10022

(212) 715-9100



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

(REV. 7-80)

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 099908.00020 SERIAL NO. 09/900,935  
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**U.S. PATENT DOCUMENTS**

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>
	5,210,611		Yee et al.		
	5,859,662		Cragun et al.		
	5,732,338		Schwob		
	5,864,753		Morita et al.		
	5,867,205		Harrison		
	5,880,768		Lemmons et al.		
	6,005,597		Barrett et al.		
	6,011,854		Van Ryzin		
	6,021,320		Bickford et al.		
	6,128,650		De Vos et al.		
	6,133,909		Schein et al.		
	6,192,340		Abecassis		

**FOREIGN PATENT DOCUMENTS**

<u>*EXAMINER</u> <u>INITIALS</u>	<u>DOCUMENT</u> <u>NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS/SUB</u>	<u>TRANSLATION</u> <u>YES/NO</u>
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**OTHER REFERENCES**  
**(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

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EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.